Wavelength-Swept Fiber Laser with Frequency Shifted Feedback and Resonantly Swept Intra-Cavity Acoustooptic Tunable Filter

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Abstract—This paper concerns a wavelength-swept fiber laser (WSFL) incorporating frequency shifted feedback and an intra-cavity passband filter, in which the wavelength of the modeless output is linearly, continuously and repeatedly tuned (in time) over a given range by modulation of the filter peak wavelength and filter strength. We show both numerically and experimentally that amplifier noise plays a key role in determining the operation of frequency-shifted fiber laser systems and that a “noisy” amplifier can be used to suppress the natural tendency of such lasers to pulse, allowing for continuous wave, modeless operation. Furthermore, we show that significant narrowing of a WSFL instantaneous swept linewidth can be obtained if the filter peak transmission wavelength is resonantly swept so as to follow the wavelength shift per pass due to the acoustooptic frequency shift. Using these ideas we go on to demonstrate and characterize a high-power diode-driven Er\textsuperscript{3+}/Yb\textsuperscript{3+} WSFL incorporating a bulk-optic acoustooptic tunable filter (AOTF). Linearities as narrow as 9 GHz, sweep rates up to 38 nm and output powers as high as 100 mW are obtained. Furthermore, we demonstrate the generation of user definable average spectral output by synchronous modulation of the filter strength and wavelength-pulsed output at higher sweep rates. Excellent agreement between the experimental results and those of the numerical modeling is obtained. Our simulations show that reduced linewidth (\textlessthan;0.02 nm) and improved scan linearity should be readily achievable with realistic system improvements. We believe such sources to be of considerable physical and practical interest, with applications ranging from sensor array monitoring and device characterization through to low-coherence interferometry.

Index Terms—Acoustooptic filters, acoustooptic modulation, erbium materials/devices, optical fiber amplifiers, optical fiber lasers.

I. INTRODUCTION

THE COMBINED effects of frequency-shifted feedback and optical filtering within a laser cavity can lead to a number of interesting regimes of laser operation and have attracted considerable attention within recent years. Most work in the area to date has considered the cavities in which light experiences a fixed frequency shift per round-trip and is filtered by a bandpass filter of fixed transmission, bandwidth and central frequency. In this instance, depending on the precise system characteristics, either high spectral brightness “modeless” laser output [1]–[6], or pulsed operation can be achieved [7]–[11]. In the modeless laser case, providing the frequency shift per round-trip is comparable or greater than the cavity round-trip frequency, the frequency shift prevents a coherent build-up of the laser mode-structure. The laser then generates a broad-band modeless output with a spectral envelope determined by the filter characteristic and the magnitude of the frequency shift. During pulsed operation trains of short (typically 10 ps–1 ns) pulses are generated. The pulsed operation is self starting and, unlike active mode-locking, does not require any resonant matching of the frequency shift per round-trip to the cavity round-trip frequency.

The tendency for pulsing is particularly strong in frequency-shifted fiber lasers (FSFL’s) where stable trains of mode-locked pulses are typically generated. Recent work by Sabert et al. [9] has attributed the pulsing to the nonlinear Kerr effect occurring within the optical fiber. The FSFL intrinsically favors pulsed rather than continuous-wave (CW) operation because a nonlinear pulse, restoring its spectrum by self phase modulation (SPM) after being shifted away from the filter peak wavelength by the frequency shifter, experiences less loss in the filter than linear radiation. Another way of viewing the pulse formation process is that the SPM of the intra-cavity field acts as a phase seeding mechanism, establishing a phase distribution throughout the spectrum and eventually the formation of stable optical pulses. Such pulsed laser operation has much in common with the use of sliding-frequency filters for soliton control within soliton communications systems [12]. In this instance, the central frequencies of noise reduction filters within a transmission line is slid such that soliton pulses, which can adjust their central frequencies to pass through the filtered line through the combined influence of nonlinearity and dispersion, suffer lower loss than linear radiation, allowing for enhanced soliton transmission. Techniques for suppressing this pulsing in FSFL’s are a key issue if one is interested in operating them in the modeless laser regime.

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With recent developments in acoustooptic tunable filters (AOTF’s) it has become possible to envisage fiber laser cavities in which the filtering and frequency shift per round-trip are no longer fixed quantities but become time dependent. In particular, the possibility to rapidly and smoothly change the central frequency of a filter transmission peak by electronic means should allow for rapid, continuous sweeping of the output wavelength of a fiber laser [13], [14]. Such wavelength-swept fiber lasers (WSFL’s) have a wide number of applications ranging from low coherence interferometry [13], sensor interrogation, device instrumentation and characterization through to techniques such as optical frequency-domain reflectometry (OFDR) [15]–[17]. In particular, WSFL’s offer an attractive option for simple and effective spectral demultiplexing schemes in fiber grating sensors, promising higher spectral density and narrower spectral resolution than the conventional methods utilizing a combination of broad-band sources and scanning narrow-band receivers [18], [19].

The principal factor determining the operation of an WSFL relates to the interplay of the frequency shift (wavelength shift) and the change in filter peak position per round-trip. Consider a WSFL having a filter and a frequency shifter in the cavity. On each round-trip, the laser spectrum in the cavity is shifted in frequency by $f_{FS}$ and reshaped by a filter with a peak frequency shifted by $f_{filter}$ in one round-trip time. In a spectral reference frame moving with the filter passband, the filter peak frequency is static, but the laser spectrum is shifted by $f_{FS} - f_{filter}$. The output characteristics of the WSFL are therefore largely predictable from those of a conventional FSFL laser with an unswept filter and an effective frequency shift $f_{FS} - f_{filter}$ per round-trip.

A simple model which ignores the influence of nonlinearity was developed by Streifer et al. and Littler et al. to predict the spectral characteristics of FSFL’s and was found to give reasonably good agreement with experiment [20], [21]. The authors showed that the envelope of the FS laser output spectrum at a given optical power is determined only by the filter bandwidth, the frequency shift, and the spectral density of the spontaneously emitted light in the gain medium. The model also showed that the steady-state spectrum has its peak offset from the filter peak frequency. The offset frequency and the linewidth were approximately proportional to $b^{2/3}f_{FS}^{1/3}$ and $b^{2/3}|f_{FS}|^{1/3}$, respectively, where $b$ is the filter bandwidth. This, on its own, implies both the offset and linewidth are minimized in the WSFL by matching the filter sweep rate to the frequency shift, i.e., $f_{filter} = f_{FS}$.

This is illustrated in Fig. 1. In this resonant case, amplified spontaneous emission (ASE) light generated under the filter peak at the start of the filter sweep remains under the peak as the filter is swept across the amplifier gain profile and consequently experiences significantly spectral narrowing with respect to the non resonant case. From a nonlinear perspective, one might also hope that this would lead to a reduction in the tendency of the WSFL to pulse since, in the resonant case, it should be narrow-band linear radiation moving under the peak center rather than a nonlinear pulse that should experience the least loss. In terms of our previous FSFL analogy with controlled soliton transmission systems, the resonantly swept WSFL corresponds to a soliton transmission line with fixed filters with the same central frequency. Soliton transmission through such systems has been shown to be ultimately limited by preferential noise build-up under the filter peak [12].

Recently, we have implemented the above principles and demonstrated a wavelength-swept Er$^{3+}$/Yb$^{3+}$ co-doped fiber laser incorporating an AOTF in the cavity; a CW modeless output, a sweep range as wide as 38 nm, a fast scan rate of some hundreds hertz, and a high output power of up to 100 mW have been achieved [14]. Our experimental results have
indeed shown that the resonant matching of the filter peak sweep rate to the AO frequency shift leads to a significant narrowing of the instantaneous swept linewidth; a spectral resolution of \(<0.1\) nm has been demonstrated. In this paper, we investigate more fully the interplay between the AO induced frequency shift and change in filter central frequency per round-trip in a WSFL both experimentally and theoretically. We present the results of numerical modeling of the system that are in good agreement with our experimental observations. We also examine the role of amplifier noise on the operation of the system and show that resonant sweeping and a large amplifier noise figure can frustrate the pulse formation process inherent in FSFL systems.

II. EXPERIMENTAL AND SIMULATION DETAILS

A. Experimental Configuration

The WSFL configuration is shown in Fig. 2. The laser was in a unidirectional ring configuration and comprised a gain section, an output coupler and an AOTF. The AOTF was a bulk-optic device based on polarization conversion. The AO frequency shift associated with the filtering was around \(+68\) MHz and the filter had a 4-nm bandwidth around 1550 nm. The transmission peak wavelength was tuned around 1550 nm by variation of the acoustic frequency with a slope coefficient of \(-20.6\) nm/MHz. The total insertion loss of the AOTF was 2.5 dB for 0.7-W applied RF power. The amplitude and frequency of the acoustic drive to the AOTF were controlled by a phase-lock loop (PLL) control circuit driven by two independent, but phase-locked, arbitrary waveform generators. The acoustic power to the AOTF was linearly dependent on the output voltage from one of the generators and the frequency linearly dependent on the output from the other. We could, therefore, synchronously and independently control the peak transmission and wavelength of the filter as a function of time.

Two fiber amplifier systems were available for use within the laser, each of which comprising an active fiber, two isolators, a WDM coupler, and a pump laser [Fig. 2(a)]. The first amplifier was based upon a 10-m-long Er\(^{3+}/Yb^{3+}\) co-doped fiber, backward pumped by a diode-driven Nd-YLF laser giving 600-mW maximum fiber-coupled output power at 1047 nm. The amplifier was considerably overlength from conventional amplifier design perspectives resulting in a large noise figure which was measured to be 20 dB (at 1555 nm) under operating conditions similar to those experienced within the cavity. The saturated output power from the amplifier system was 22 dBm and the maximum small-signal gain was 22 dB (at 1550 nm). This amplifier was used in most of the experiments reported in this paper. The second amplifier was a low-noise EDFA, pumped in a co-propagating geometry with a 100 mW, 980-nm diode laser. The small-signal gain of the amplifier was 38 dB at the 1532-nm gain peak, and the saturated output power was 13 dBm. The amplifier noise figure was measured to be 5 dB at the gain peak.

Light was extracted from the cavity by a 90% output coupler (unless otherwise stated) and he total cavity length was around 20 nm (for both amplifier options). Control of the intracavity birefringence was provided through incorporation of two polarization controllers in the cavity (before and after the AOTF). The cavity round-trip loss excluding output coupling was approximately 3 dB.

B. Numerical Model

In order to understand the detailed behavior of our laser and to enable us to identify the key system parameters we developed a numerical model with which to simulate our system. The parameters used for most of the modeling presented here were based on our experimental values. The gain, loss, nonlinear Kerr-effect, dispersion, frequency shift, and spectral filtering were treated as lumped [Fig. 2(b)]. We
defined intra-cavity complex field amplitudes on a \( n \)th round-trip, \( c_m(t) \) in the time domain and \( a_m(\nu) \) in the spectral domain. Their squares are, respectively, the temporal and spectral power densities at the output end of the amplifier. The average output power \( P_{\text{out}} \) extracted by the 90% port of the output coupler is given by

\[
P_{\text{out}} = \frac{\Gamma_1}{\tau_{\text{rt}}} \int_{-\infty}^{\infty} |c_m(t)|^2 \, dt
\]

where \( \Gamma_1 = 0.45 \) represents the optical power attenuation arising between the output ports of the amplifier and the coupler, and \( \tau_{\text{rt}} = 100 \text{ ns} \) is the round-trip time. It can be shown that \( a_m(\nu) \) and \( c_m(t) \) are related to each other by the Fourier transform:

\[
a_m(\nu) = \int_{-\infty}^{\infty} c_m(t) e^{2\pi i \nu t} \, dt
\]

and

\[
c_m(t) = \int_{-\infty}^{\infty} a_m(\nu) e^{-2\pi i \nu t} \, d\nu.
\]  

Physically, \( c_m(t) \) is related to the real-valued electric field amplitude \( E_z(z = 0, t) = \sqrt{2Z/|\pi r^2|} \, \text{Re} \{e(t)\} \), where \( Z = 258 \Omega \) is the impedance of the optical fiber, \( r = 4 \, \mu m \) the mode-field radius of the fiber, and \( c_m(t) = e(t) \) if \( m \cdot \tau_m < t \leq (m+1) \cdot \tau_m \) and \( c_m(t) = 0 \) elsewhere.

Evolution of the laser spectrum on successive round-trips is governed by the following equation [6]:

\[
a_{m+1}(\nu + f_{\text{FS}}) = \sqrt{G_m} \Gamma_{\text{tot}} T_m(\nu) e^{i\beta(\nu)L} \cdot \{a_m(\nu) + a_{\text{ASE}} m(\nu) + a_{\text{nil}} m(\nu)\}.
\]  

Here, \( \Gamma_{\text{tot}} = 0.05 \) represents the total cavity loss (13 dB), and

\[
G_m = e^{\exp \left[ \frac{\ln G_0}{1 + \eta \cdot P_{\text{in}}} \right]}
\]  

is the saturated amplifier gain. Here \( G_0 \) is the small-signal gain, \( P_{\text{in}} = (\Gamma_{\text{tot}}/\eta_{\text{rt}}) \int_{-\infty}^{\infty} |a_m(\nu)|^2 \, d\nu \) is the input optical power to the amplifier, and the multiplication factor \( \eta = 0.0528 \) is determined from the saturation input power of 3 mW at the maximum small gain of 22 dB. \( T_m(\nu) = \exp[-4 \ln 2(1 - \nu_m)^2/b^2] \) is a Gaussian filter transmission function where \( \nu_m \) is the center frequency of the filter passband \( \nu_{m+1} - \nu_{m-1} = f_{\text{FS}} \), and \( b = 500 \text{ GHz} \) (4 nm) is the FWHM bandwidth of the filter. \( \beta(\nu) \) is the propagation constant expanded up to the second-order dispersion term \( \beta_2(\nu - \nu_m)^2 \) where \( \beta_2 = 1/(2\pi)^2 \, d^2 \beta / d\nu^2 = -20 \text{ ps}^2 \text{/km} \). \( L = 20 \text{ m} \) is the total cavity length. The second term is the curly bracket of (3) represents the addition of noise spectrum due to amplified spontaneous emission (ASE) of the gain medium [22]:

\[
a_{\text{ASE}} m(\nu) = \sqrt{0.5 \cdot (1/\Gamma_{\text{tot}}) \cdot \nu F \cdot h \nu_0 \cdot \tau_{\text{rt}} \, e^{i
\phi(\nu)}},
\]

Here \( NF = 100 \) is the noise figure of the amplifier, \( h \nu_0 = 1.27 \cdot 10^{-19} \text{ J} \) is the single photon energy at \( \lambda = 1558 \text{ nm} \), \( \phi(\nu) \) is the random phase function ranging between 0 and \( 2\pi \), and the multiplication factor 0.5 comes from the fact that only one polarization state contributes to the laser build-up. The last term of (3) describes the nonlinear Kerr effect: \( a_{\text{nil}} m(\nu) = \int_{-\infty}^{\infty} c_m(t)e^{i\nu_m t} - 1 \, e^{i\nu t} \, dt \). Here, \( c_m(t) = 2(\pi/\lambda) \eta_2 L_n I_m(\nu) \) where \( \eta_2 = 3.2 \cdot 10^{-20} \text{ W/J} \) is the nonlinear refraction index, \( L_n = 10 \text{ m} \) is the effective length of the nonlinear interaction medium, and \( I_m(\nu) = |c_m(t)|^2/(\pi r^2) \) is the effective optical intensity in the nonlinear medium. We assumed the laser build-up to start from the initial ASE noise, i.e., \( a_m = 0(\nu) = a_{\text{ASE}} m(\nu) \).

The model is encoded in a PC for numerical simulation (see the Appendix). We defined a window in the spectral domain, which was represented by 2048 discrete frequency components with an equal spacing of \( \Delta = 100 \text{ MHz} \). The total window thus spanned 204.8 GHz. For convenience in computer coding, the center frequency of the window was made to shift by \( f_{\text{FS}} \) per round-trip. Using this moving reference frame, we were able to choose the value of \( \Delta \) without restriction, otherwise, the spacing \( \Delta \) should be equal to or a subharmonic of \( f_{\text{FS}} \) to simulate the frequency shift properly without passing on any phase information between the frequency components. In this frame, the filter passband is seen to move by \( f_{\text{FS}} \cdot \Delta \) per round-trip. As the filter passband is swept, the laser spectrum is shifted and would eventually escape the spectral window unless the position of the window is re-adjusted to contain the laser spectrum. To avoid this problem, we shifted the window in the spectral domain occasionally, once the filter peak frequency deviated significantly from the center of the window, so that the filter peak frequency returns to near the window center avoiding edge effects at the frequency grid edges. The frequency shift \( f_{\text{FS}} \) was assumed to be a constant of \( 68 \text{ MHz} \) although the actual experimental value was varied over a small range in time so as to sweep the filter wavelength with a tuning coefficient of about \( -50 \text{ kHz/nm} \).

### III. EXPERIMENTAL AND NUMERICAL RESULTS

#### A. Laser Operating Mode Under Unswept Filter Characteristics

We first examined FSFL operation with fixed AOTF characteristics. Initially, we numerically investigated how the mode of operation (pulsed or CW) depended on the various system parameters. We started off by neglecting the effects of amplifier noise and concerned ourselves with the filtering, frequency shifting and propagation effects alone. One conclusion was that nonlinearity plays the key role in the pulse formation process in agreement with the earlier findings of Sabert and Brinkmeyer [9]. Indeed we reproduced the results presented in their earlier work in validating this part of our code.

We next included the effects of amplifier noise in the simulation to see if this altered the situation and found that once a realistic amount of ASE noise light was added to the system, pulse formation was hindered. The majority of simulation results related to the physical parameters of...
the experimental system previously described containing the noisy Er<sup>3+</sup>/Yb<sup>3+</sup> amplifier with 20-dB noise figure. The resultant spectral power density of ASE noise $|\alpha_m^{ASE}(\nu)|^2$ was calculated to be $1.273 \times 10^{-23}$ J/Hz from (5). Fig. 3 shows the simulation results for the temporal and spectral power taken after 3000 round-trips at the end of the amplifier. The output power was 10 mW. When the correct value for ASE power was used, the laser output was effectively CW, as shown in Fig. 3(a). When the ASE power was reduced by two orders of magnitude, a strong tendency for multiple pulse generation was observed, as shown in Fig. 3(c). These results, therefore, indicated that the addition of strong, randomly phased noise to the re-circulated, nonlinearly generated seed signal can prevent the build-up of a constant phase relationship across the emission spectral bandwidth, thereby frustrating the formation of pulsed output.

The validity of this idea was confirmed by the following experiments. We examined the system operation of the FSFL under fixed filter operation for intra-cavity amplifiers with both low and high-noise gain characteristics. Initially we used the low-noise 980-nm pumped amplifier previously described. Output coupling from the laser in this instance was arranged to be from the 10% port of the output coupler. The laser was found to produce self-starting pulses for almost all settings of the intra-cavity polarization controllers at a few 10's of mW of launched pump power. Pulsed operation was by far the preferred mode of operation for this laser configuration. The pulsing was characteristic of that of most forms of passively mode-locked soliton fiber laser, with chaotic pulsing at high average powers and stabilized pulse bunches at low pump power. Stable fundamental mode-locking was readily obtained by accurate control of the pump power. Pulsing was also largely sustained during resonant sweeping of the filter central frequency.

The low noise amplifier was then replaced with the large noise-figure Er<sup>3+</sup>/Yb<sup>3+</sup> amplifier. In addition, we increased the total cavity loss by taking the output from the 90% port of the output coupler. The ASE noise power was consequently increased by two orders of magnitude relative to our previous experiment. In this instance, the laser output was typically a continuous wave. Only by very careful alignment of the fiber polarization controllers, near the threshold pump power, did we ever manage to observe any form of pulsing. Once the filter peak was swept, any possibility for pulsed operation was completely lost. The modeless CW linewidth was between 30–50 GHz depending on the laser output power.

B. Instantaneous Linewidth Measurements

We next systematically examined the laser performance under swept operation for the cavity incorporating the Er<sup>3+</sup>/Yb<sup>3+</sup> amplifier and 90% output coupling. Initially, we examined a linear sweep in filter peak wavelength. The linear wavelength sweep was accomplished by linearly chirping the applied acoustic frequency to the device. Practically this was achieved by applying a saw-tooth waveform to the frequency control input of the AOTF control system. The filter peak wavelength was thereby linearly swept between fixed wavelength extremes defined by the amplitude and dc offset of the saw-tooth wave at a sweep frequency $f_{\text{sweep}}$. As the acoustic frequency is swept upward in frequency, the filter central wavelength, and thereby the wavelength of the output
radiation, is swept downward in wavelength. The resonant saw-tooth sweep frequency depended on the sweep range, cavity length and AO frequency shift per pass. For example, in the case of a 20-nm (2.5-THz) sweep, \( f_{FS} = 68 \) MHz and a cavity round-trip frequency of 10 MHz, the resonant sweep frequency is calculated to be 275 Hz. It should be noted that resonant sweeping across the full frequency range should actually require a slightly nonlinear wavelength variation with time since the acoustic shift per pass varies slightly as the filter peak wavelength is swept. The 20-nm sweep requires a 1 MHz or \( \approx 1.5\% \) variation in the magnitude of frequency shift. We designed exponential-type wavelength sweeps to correct for this higher order effect but no significant difference was observed from the simpler linear sweep for the experimental measurements made to date. The acoustic amplitude was kept constant across the full frequency sweep range for our initial experiments.

We started our experiments by examining the instantaneous linewidth of the swept laser output as a function of sweep frequency. The measurement was made at a fixed wavelength within the scan range by examining the temporal response of the reflection of the swept laser output from a fixed narrow-band fiber grating (bandwidth 7 GHz, centered at 1558 nm) [Fig. 2(a)]. The reflected temporal pulse form is directly related to a correlation overlap between the laser output spectrum and the grating reflectivity profile. The temporal width of the reflected pulse determines the instantaneous linewidth at the grating peak wavelength. Assuming that the output spectrum and the grating reflection profile both have Gaussian forms, we get

\[
\Delta \nu = \left[ \left( \frac{f_{GRATING}}{T_{GRATING}} \right)^2 - \frac{b_{GRATING}^2}{b_{GRATING}^2} \right]^{1/2}
\]

(6)

where \( b_{GRATING} = 7 \) GHz is the grating bandwidth. Moreover, by measuring the absolute arrival time of the return pulse and by comparing it with the calibrated position of the sliding filter peak we were able to ascertain the exact position of the center of the laser output spectrum relative to the filter peak. We plot in Fig. 4 the typical temporal return from the fiber grating at three different sweep frequencies of 250, 270, and 290 Hz for an output power of 3 mW. The temporal width of the reflected signal has a minimum around \( f_{Sweep} = 270 \) Hz. The reflected output deviates from a Gaussian either side of resonance (250 and 290 Hz). This is in agreement with the previous reports that the conventional unswept FSFL laser produces an asymmetric spectrum [1], [3], [9], [Fig. 1]. Assuming the offset frequency to be zero at \( f_{Sweep} = 270 \) Hz, we mark the position of the filter peak frequency with a dotted line in Fig. 4, clearly illustrating that the pulse locates itself on opposite sides of the filter peak either side of resonance. On resonance the spectrum locates itself under the peak of the effective gain curve.

From this experiment, we could measure the instantaneous linewidth and offset from the filter center as a function of sweep frequency. Fig. 5 shows the measured linewidth for the 20-nm wavelength sweep from 1544 to 1564 nm at two different output power levels of 3 and 100 mW. It is seen that a significant reduction in instantaneous linewidth was indeed observed as anticipated at a frequency of around 270 Hz, close to that predicted for resonant sliding of the filter peak. However, it should be noted that the exact position of the resonant peak varied slightly \( \pm 20 \) Hz depending on the states of the polarization controllers. We attribute this variation to the polarization dependence of the AOTF associated with the wavelength-dependent cavity birefringence. This effect locally affects the sliding rate of the overall gain shape and thereby the effective resonant sweep rate. At low output powers of a few milliwatts, the measured linewidths were \( \sim 30 \) GHz away from resonance, however these narrowed up to as low as 9 GHz
(0.072 nm) on resonance. At high-output powers of around 100 mW, the minimum achievable linewidths were limited to 25 GHz or so, as opposed to the nonresonant linewidths of order 50–60 GHz. The measurements were repeated for wavelength sweeps ranging from 2 to 38 nm for both linear and exact exponential wavelength sweeps but no further linewidth reduction was possible.

Fig. 6 shows the measured offset frequency between the spectral peak of the laser output and the center frequency of the filter for the 20-nm sweep at 3-mW output power level. As expected, the spectrum locates itself at opposite sides of the filter peak for sweep frequencies greater and less than the resonant case.

We simulated the WSFL using the code described previously for a variety of filter sweep frequencies around resonance. The initial noise spectrum was found to stabilize after an initial transient stage and reach the final steady-state envelope after a few hundred to a few thousand round-trips, depending on the filter sweep rate. The spectral offset and width were measured and averaged from \( n = 3000–4500 \) round-trips. The results for output powers of 3 and 100 mW are shown by dashed lines in Figs. 5 and 6. For these plots small-signal gains of 13.25 dB (\( G_0 = 21.13 \)) and 20.8 dB (\( G_0 = 120.2 \)) were chosen for the 3- and 100-mW output powers, respectively, and the total cavity loss was 13 dB (\( L_{\text{cav}} = 0.05 \)).

From Figs. 5 and 6, it is seen that the numerical modeling is in excellent agreement with our experimental observation, except for some discrepancy for the case of 100-mW output power, predicting both the expected fall off in linewidth for resonant sweeping, the correct spectral linewidth both on and off resonance and the correct degree of linewidth broadening associated with the increased output power. Interestingly, we found from our simulations that without the nonlinear effect, i.e., \( n_2 = 0 \), the spectrum moved farther away from the filter center frequency as the output power increased, and the linewidth decreased because of the increasing slope of the filter transmission profile. However, the opposite occurred when the nonlinearity was turned on, i.e., as the output power increased, the offset decreased, and the linewidth increased, due to the additional SPM.

Having validated our model against experimental data we went on to investigate the possibility for further linewidth reduction by reduction of the filter bandwidth. The expected linewidth as a function of the filter sweep rate at sweep rates around resonance is shown in Fig. 7(a) for values of intra-cavity filter bandwidth of 0.1, 0.4, and 1 nm. Significant reductions in linewidth were obtained on resonance as with the 4 nm filter. A plot of the minimum (resonant) linewidth as a function of filter width is given in Fig. 7(b), where it is seen that considerable reductions in swept linewidth can be envisaged. For example, a 1-nm filter results in a swept bandwidth of 2.5 GHz (<0.02 nm).

C. Absolute Wavelength Accuracy

In order to experimentally determine the absolute wavelength accuracy of the source across the full-wavelength span, we sampled the output at fixed time delays from the start of the wavelength scan by using an acoustooptic switch. The switch was held open for 2 \( \mu s \) after a given delay time, and the peak wavelength of the output was measured on an optical spectrum analyzer of 0.05-nm resolution using the
max-hold, or average measurement, option. The experimental values from the resonant tracked case could then be compared with those predicted from the calibrated, linear filter tuning characteristic. The results are plotted in Fig. 8(a) where we see that reasonably linear tuning characteristics are obtained over the full scan. The root-mean-square (rms) deviation from the desired linear tuning curves was 0.153 nm with a peak-to-peak deviation of <0.4 nm over the 20-nm range [Fig. 8(b)]. The deviations are attributed to the wavelength-dependent gain profile of the amplifier and birefringence in the cavity and could in principle be eliminated by higher order corrections of the filter sweep characteristics.

D. Time Average Spectral Output

The time average output from the source, as measured on the peak hold function of the optical spectrum analyzer over repeated scans on resonance, is shown in Fig. 9. We see that a well defined square average output spectrum was obtained with less than 1.0 dB variation across the full sweep range of 20 nm (average output power 100 mW). Variations of <0.4 dB (output power 102 mW) and <6 dB (output power 91 mW) were achieved for resonant sweeps of 10 nm and the maximum 38 nm, respectively. A plot of typical time domain behavior under resonant sweeping conditions is shown in Fig. 10. It is seen that after a brief period of relaxation oscillation at the beginning of the wavelength sweep, the output settles to a reasonably level continuous wave output over the remainder of the scan.

The spectral shape and output power were almost independent of the sweep frequency up to 7 kHz at the maximum pump power. However, as the sweep frequency increased higher than 7 kHz, the relaxation oscillation at the beginning of the sweep became so significant that the pulsed outputs, as shown in Fig. 11, were obtained. In the case of a 10-nm sweep at $f_{\text{sweep}} = 9.3$ kHz, the pulse repetition rate was ~80 kHz and output power 60 mW. Each individual pulse had a duration of order 1 $\mu$s and was associated with one of the peaks in the spectral domain. The harder the system was pumped the greater the number of pulses per sweep cycle.

Finally, we also investigated the possibilities for designer average spectral output profiles by simultaneously varying the strength of the acoustic drive as its frequency is tuned. For this purpose, we demonstrated the generation of triangular and square wave modulated forms over a 10-nm wavelength span by the application of triangular- and square-wave drives to the AOTF amplitude control [Fig. 12].

IV. Conclusion

We have investigated both numerically and experimentally the performance and key factors governing the operation of both FSFL and WSFL. We numerically demonstrated that the interplay between nonlinearity and amplifier noise plays a key role in determining whether a FSFL system operates...
in a CW or pulsed mode and have gone on to show that resonant tracking of the filter peak to the total AO frequency shift experienced by light circulating within the cavity of a WSFL, gives a reduction in the instantaneous swept linewidth to <0.1-nm bandwidth.

Using these design concepts we have developed and characterized a diode-pumped, high-power (up to 100 mW), wavelength-swept Er\textsuperscript{3+}/Yb\textsuperscript{3+} co-doped fiber laser in which the output wavelength is continuously and repeatedly tuned over a broad range (up to 38 nm) by modulation of the intra-cavity AOTF peak wavelength with an instantaneous swept linewidth of <9 GHz. An absolute rms wavelength stability of 0.15 nm has been obtained over a sweep range of 20 nm. In addition, we have demonstrated that simultaneous control of the AOTF transmission can allow the generation of user definable average spectral output profiles and that novel multiwavelength pulsed output can be generated at high filter sweep rates. Good quantitative agreement between experiment and theory has been obtained.

Our simulations have indicated that even narrower spectral linewidth and better absolute wavelength accuracy can be achieved by moving to an AOTF with reduced spectral bandwidth. For example a 1-nm tunable filter should give <0.02-nm swept linewidth with anticipated improvement in absolute wavelength accuracy. We believe such sources to be of considerable physical and practical interest, with applications such as sensor array monitoring, device characterization, and low-coherence measurements.

APPENDIX

From (3), we obtain

\begin{align}
    a_{m+1}[k] &= \sqrt{G_m}T_m[k]\exp\left[\frac{i\beta_2}{2}L(k-k_m)^2\Delta^2\right] \\
    & \cdot \left\{a_m[k] + \alpha_{\text{ASE}} + a_{m+1}[k]\right\} \\
    T_m[k] &= \exp\left[-4\ln2 \cdot (k-k_m)^2/\Delta^2\right] \\
    \Delta_m &= k_m + \left(f_{\text{filter}} - f_{\text{FP}}\right)/\Delta \\
    a_m[i] &= \text{FFT} \left\{c_m[j] \cdot e^{j2\pi a[j]/\beta} - 1\right\} \\
    \phi_m[j] &= (2\pi/\lambda)n_2L \cdot I_m[j] \\
    I_m[j] &= |c_m[j]|^2/(\pi\Delta)^2 \cdot (1/T\Delta).
\end{align}

Here \(a_m[k]\)'s are 2048 frequency components and \(c_m[j]\)'s represent 2048 electric-field components in the time domain defined by the delayed time \(\tau_m = t - z/c_m\) where \(c_m = 2\pi(d\beta/d\nu)^{-1}\) is the group velocity of light at the filter peak frequency. These two arrays are related to each other by the discrete fast Fourier transform (FFT).

The factor \(1/T\Delta\) on the right-hand side of (A.6) was introduced for the following reason. The size of the time window \(1/\Delta = 10\) ns is only one tenth of the cavity round-trip time \(T_{\text{rt}} = 100\) ns. If a CW light is to be dealt with, the nonzero temporal amplitudes outside the time window are actually folded into the window, making \(|c_m[j]|^2\) ten times larger than those values which would be obtained when \(1/\Delta\) is equal to \(T_{\text{rt}}\). We circumvented this problem by introducing the correction factor \(1/(T\Delta)\). While this argument does not apply to the case of a pulsed output, the correction factor is needed for most of our simulations which effectively produce CW outputs.
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